

MF2

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/815,422
	Filing Date	March 31, 2004
	First Named Inventor	Giovanni COGLITORE
	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	10 + 7 refs.	Attorney Docket Number 443452001800

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Form PTO-1449 + duplicate copy (6 pages)• Seven (7) references• Return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Hugh H. Matsubayashi - 43,779
Signature	
Date	May 28, 2004

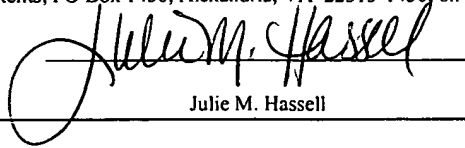
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: May 28, 2004	Signature: (Julie M. Hassell)



PATENT
Docket No. 443452001800

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on May 28, 2004.


Julie M. Hassell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Giovanni COGLITORE et al.

Serial No.: 10/815,422

Filing Date: March 31, 2004

For: COMPUTER RACK COOLING
SYSTEM

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **443452001800**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 28, 2004

Respectfully submitted,

By: 
Hugh H. Matsubayashi
Registration No. 43,779

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5632
Facsimile: (650) 494-0792

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number 443452001800

Application Number 10/815,422

Applicant

Giovanni COGLITORE et al.

Filing Date March 31, 2004

Group Art Unit Not Yet Assigned

Mailing Date May 28, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	06/20/2002	2002/0075656	Hastings et al.			
	2.	09/12/2002	2002/0126449	Casebolt			
	3.	11/21/2002	2002/0173266	Sharp et al.			
	4.	11/21/2002	2002/0173267	Sharp et al.			
	5.	02/20/2003	2003/0035268	Coglitore et al.			
	6.	01/08/2004	2004/0004813	Coglitore et al.			
	7.	04/15/2004	2004/0070936	Coglitore et al.			
	8.	04/12/1932	1,853,428	Jackson			
	9.	02/17/1987	4,644,443	Swensen et al.			
	10.	09/01/1987	4,691,274	Matouk et al.			
	11.	10/27/1987	4,702,154	Dodson			
	12.	03/01/1988	4,728,160	Mondor et al.			
	13.	09/27/1988	4,774,631	Okuyama et al.			
	14.	08/22/1989	4,860,163	Sarath			
	15.	02/13/1990	4,901,200	Mazura			
	16.	08/04/1992	5,136,464	Ohmori			
	17.	06/01/1993	5,216,579	Basara et al.			
	18.	03/14/1995	5,398,159	Andersson et al.			
	19.	07/11/1995	5,432,674	Hardt			
	20.	08/08/1995	5,440,450	Lau et al.			
	21.	10/24/1995	5,460,441	Hastings et al.			
	22.	04/09/1996	5,505,533	Kammersqard et al.			
	23.	05/07/1996	5,515,239	Kamerman et al.			
	24.	06/18/1996	5,528,454	Niklos			
	25.	12/24/1996	5,587,877	Ryan et al.			
	26.	02/11/1997	5,602,721	Slade et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 443452001800

Application Number 10/815,422

Applicant

Giovanni COGLITORE et al.

Filing Date March 31, 2004

Group Art Unit Not Yet Assigned

Mailing Date May 28, 2004

27.	11/25/1997	5,691,883	Nelson			
28.	08/11/1998	5,793,608	Schmitt et al.			
29.	08/18/1998	5,796,580	Komatsu et al.			
30.	09/29/1998	5,813,243	Johnson et al.			
31.	10/13/1998	5,822,182	Scholder et al.			
32.	04/20/1999	5,896,273	Varghese et al.			
33.	06/01/1999	5,909,357	Orr			
34.	09/07/1999	5,947,570	Anderson et al.			
35.	09/21/1999	5,956,227	Kitaoka			
36.	12/14/1999	6,000,464	Scafidi et al.			
37.	01/25/2000	6,018,456	Young et al.			
38.	06/20/2000	6,078,503	Gallagher et al.			
39.	07/11/2000	6,088,224	Gallagher et al.			
40.	08/01/2000	6,098,131	Unger et al.			
41.	10/17/2000	6,134,667	Suzuki et al.			
42.	10/31/2000	6,141,213	Antonuccio et al.			
43.	11/14/2000	6,147,862	Ho			
44.	02/06/2001	6,185,098	Benavides			
45.	02/27/2001	6,195,262	Bodette et al.			
46.	07/10/2001	6,259,605	Schmitt			
47.	12/17/2002	6,496,366	Coglitore et al.			
48.	01/14/2003	6,506,111	Sharp et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO.	
	49.	05/1988	FR 2 610 471	France				

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 443452001800

Application Number 10/815,422

Applicant

Giovanni COGLITORE et al.

Filing Date March 31, 2004

Group Art Unit Not Yet Assigned

Mailing Date May 28, 2004

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	50.	Anonymous. "Cobalt RaQ2," at http://www.cobalt.com/products/pdfs/datasheet.raq2.pdf visited on November 27, 2000. (2 pages.)
	51.	Anonymous. "Cobalt RaQ3," at http://www.cobalt.com/products/pdfs/datasheet.raq3.pdf visited on November 27, 2000. (2 pages.)
	52.	Anonymous. "Cobalt RaQ4," at http://www.cobalt.com/products/pdfs/datasheet.raq4.pdf visited on November 27, 2000. (2 pages.)
	53.	Anonymous. "SGI – O2 Workstation Product Overview," at http://www.sgi.com/o2/overview.html visited on September 8, 2000. (4 pages.)
	54.	Anonymous. (March 10, 1988) "Silicon Graphics Unveils Dedicated, Entry-Level Hosting Solution," Press Release at http://www.sgi.com/newsroom/press_releases/1998/march/o2webserver_release.html visited on January 6, 2000. (2 pages.)
	55.	Anonymous. "A Rack-Mountable Web Server for ISPs of All Sizes," at http://www.sgi.com/solutions/internet/products/rackmount.html first visited on January 6, 2000. (4 pages.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.